

FUNDS

Compliance Services



Regulation is continuously evolving and compliance can be a significant burden, whether for a newly established fund manager or a large global investment house. At Group Eleven, our compliance experts safeguard our clients' business by ensuring they meet their regulatory obligations with confidence.

Our funds business team comprises former senior compliance specialists, chartered secretaries and auditors. Their in-depth knowledge and practical hands-on experience ensures a pragmatic, pro-active approach that provides practical guidance and allows clients to assess the impact of developments as they occur.

Working with funds and offshore ManCos across Private Equity, Venture Capital, Real Estate, Debt and other Alternative Assets, our team helps clients to navigate the regulatory landscape pre- and post- fund launch.

We operate a highly effective compliance framework, applying our compliance experience and expertise to each client structure, ensuring attention to detail and rigorous adherence to strict regulatory reporting deadlines.

Services include:

- **Provision of regulatory officers (Compliance Officer, MLRO, DMLRO)**
- **Mapping the risk and compliance framework**
- **Compliance monitoring programmes**
- **Compliance reviews and board reporting**
- **Bespoke business risk assessment**
- **Bespoke compliance policy and procedures manual**
- **Communication with regulators**
- **KYC/CDD services**
- **Regulatory updates**
- **Gap analysis and remediation projects as part of transitioning funds and structures from other service providers**