

FUNDS

Regulatory Reporting Services



Group Eleven's commitment to providing a holistic service for clients means that our administrative capabilities don't stop at the establishment of a fund structure – we also provide a full range of services to ensure ongoing regulatory compliance, good governance, and informed investor relations.

Our services extend to multi-currency valuation, performance analysis, shareholder registration and dealing, corporate secretarial, and regulatory compliance monitoring to funds of all types across the full regulatory spectrum.

The pace of regulatory change is increasing. Our compliance and regulatory specialists will proactively help clients navigate the ever evolving landscape and remain up to date with new requirements, providing guidance and reporting solutions to ensure compliance.

By outsourcing regulatory reporting obligations to us, our clients can free up in-house resources and save costs associated with additional resourcing and technology requirements.

We can also help clients with regulatory and investor services customised to their specific jurisdictions.

Example, but not all, regulatory and investor services include:

- **Maintenance of investor registers and records**
- **Investor on-boarding services including KYC & AML checks and maintenance**
- **Processing of all investor transactions**
- **Investor reporting, including statements and transaction confirmations**

- **Calculations and reporting of all call and distribution notices**
- **Commissions, rebates and other investor related fee calculations**
- **Legal entity analysis and classification on client structures to determine FATCA status**
- **FATCA and CRS reporting**
- **AIFMD Annex IV reporting**
- **Multi-jurisdictional filing services**
- **Tax reporting**
- **Provision of Responsible Officer/Point of Contacts**
- **Liaising with clients and investors where there is insufficient data to determine US status (FATCA) / tax residency (CRS)**
- **Preparing and liaising with clients and intermediaries to provide self-certifications**
- **Beneficial ownership and control reporting**
- **Local regulatory reporting and filings**